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## INTRODUCTION

CNNs contain huge number of parameters, which leads to large memory footprint:

- (1) Fewer test samples at once.
- (2) Not suitable for Mobile devices.

Previous work:

1. Network distillation.
2. Memory efficient structures.
3. Parameter pruning.

We remove the number of neurons during training using sparse constraints. **removing neurons has advantages in:**

1. Do not rely on sparse data structure.
2. Also apply to Fourier domain.
3. Dimension reduction.

## CONTRIBUTIONS

1. Reducing number of neurons of CNNs **during training**.
2. Analyzing the importance of ReLU for sparse constraints.
3. Reducing significant amount of parameters for four well-known CNNs.
4. Easy to implement.

## FORMULATION

Objective function:

$$\min_{\hat{\mathbf{W}}} \psi(\hat{\mathbf{W}}) + g(\hat{\mathbf{W}}). \quad (1)$$

$\hat{\mathbf{W}}$ : the parameter of the CNN.

$g(\hat{\mathbf{W}})$ : the sparse constraints.

$\psi(\hat{\mathbf{W}})$ : the objective function of training a CNN.

Normal Backprop is difficult as:

- (1) gradient of  $g(\hat{\mathbf{W}})$  is difficulty to compute.

- (2)  $g(\hat{\mathbf{W}})$  is non differentiable at sparse point.

Forward-backward splitting:

**Algorithm 1** Forward-backward splitting

```

1: while Not reaching maximum number of iterations do
2:   One step back-propagation for  $\psi(\hat{\mathbf{W}})$  to get  $\hat{\mathbf{W}}^{k*}$ 
3:    $\hat{\mathbf{W}}^{k+1} = \arg \min_{\hat{\mathbf{W}}} g(\hat{\mathbf{W}}) + \frac{1}{2\tau^k} \|\hat{\mathbf{W}} - \hat{\mathbf{W}}^{k*}\|^2$ 
4: end while

```

one step = one epoch.

## REFERENCES

- [1] S. Srinivas, R.V. Babu. Data-free Parameter Pruning for Deep Neural Networks In BMVC '15
- [2] J. Liu, P. Musialski, P. Wonka and J. Ye Tensor Completion for Estimating Missing Values in Visual Data. PAMI '13

## SPARSE CONSTRAINTS

Tensor Low Rank [2]:

$$g(\hat{\mathbf{W}}) = \lambda \sum_{(j,l) \in \Omega} \frac{1}{n} \sum_{i=1}^n \|\hat{\mathbf{w}}_{lj(i)}\|_{tr}. \quad (3)$$

Group Sparsity:

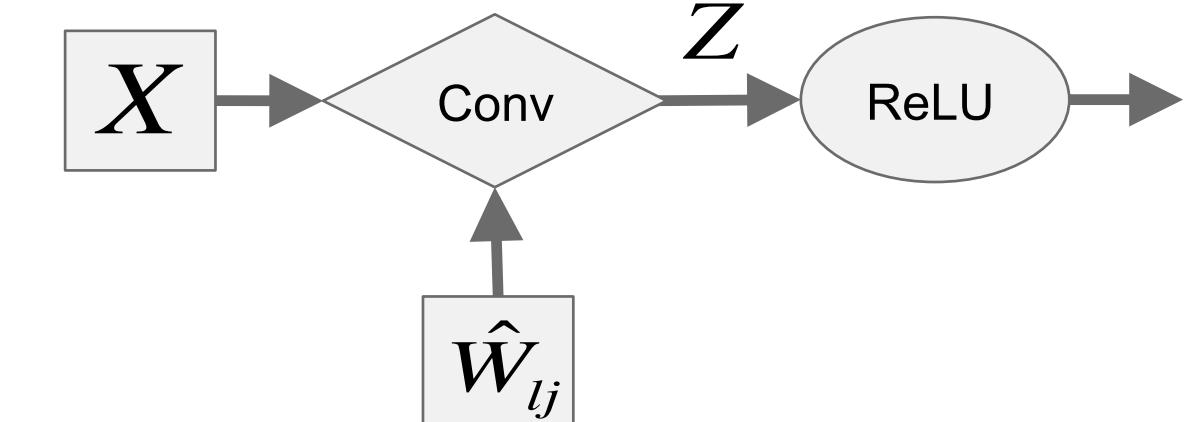
$$g(\hat{\mathbf{W}}) = \lambda \sum_{(j,l) \in \Omega} \|\hat{\mathbf{w}}_{lj}\|, \quad (4)$$

## IMPORTANCE OF RELU

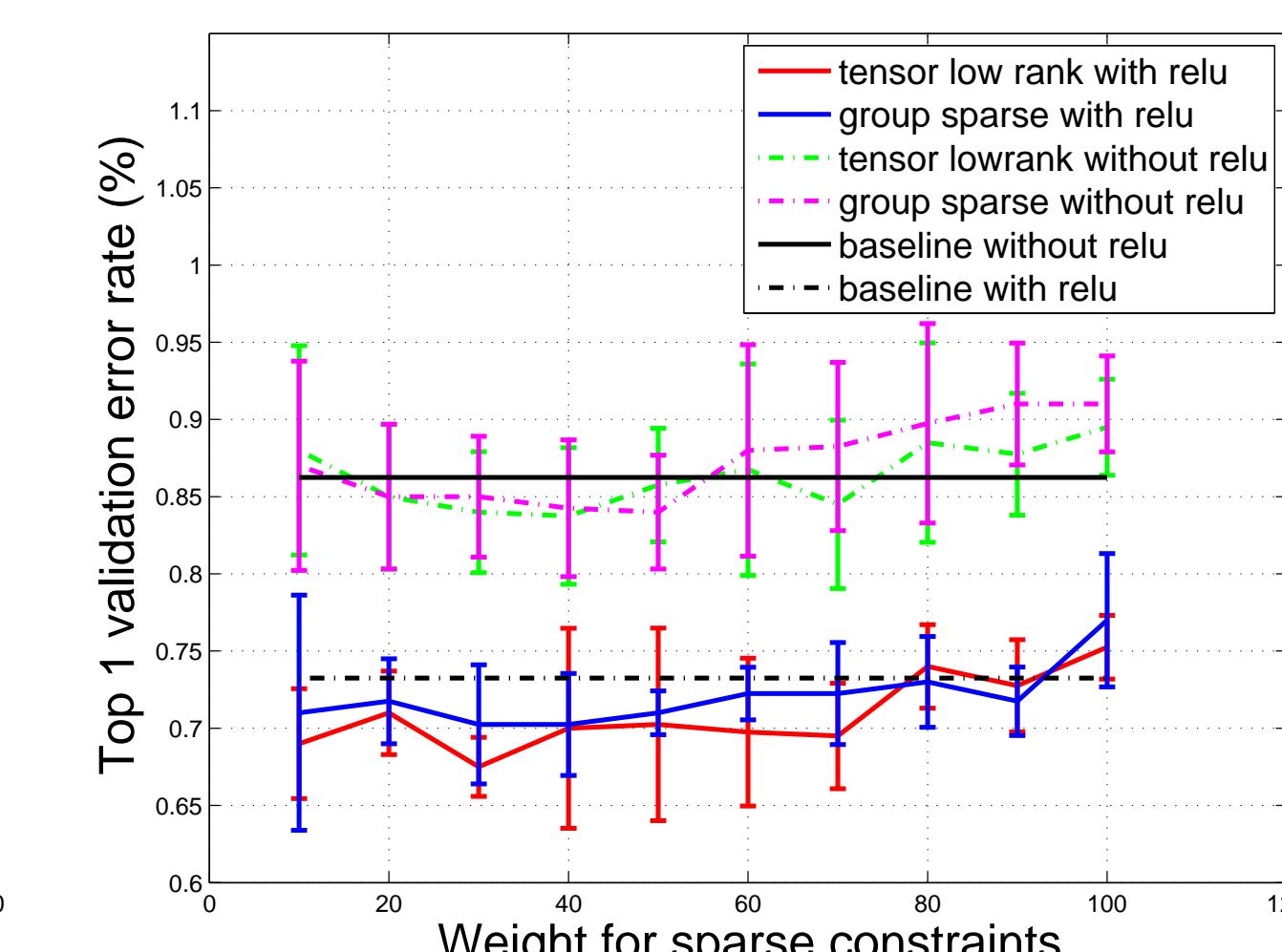
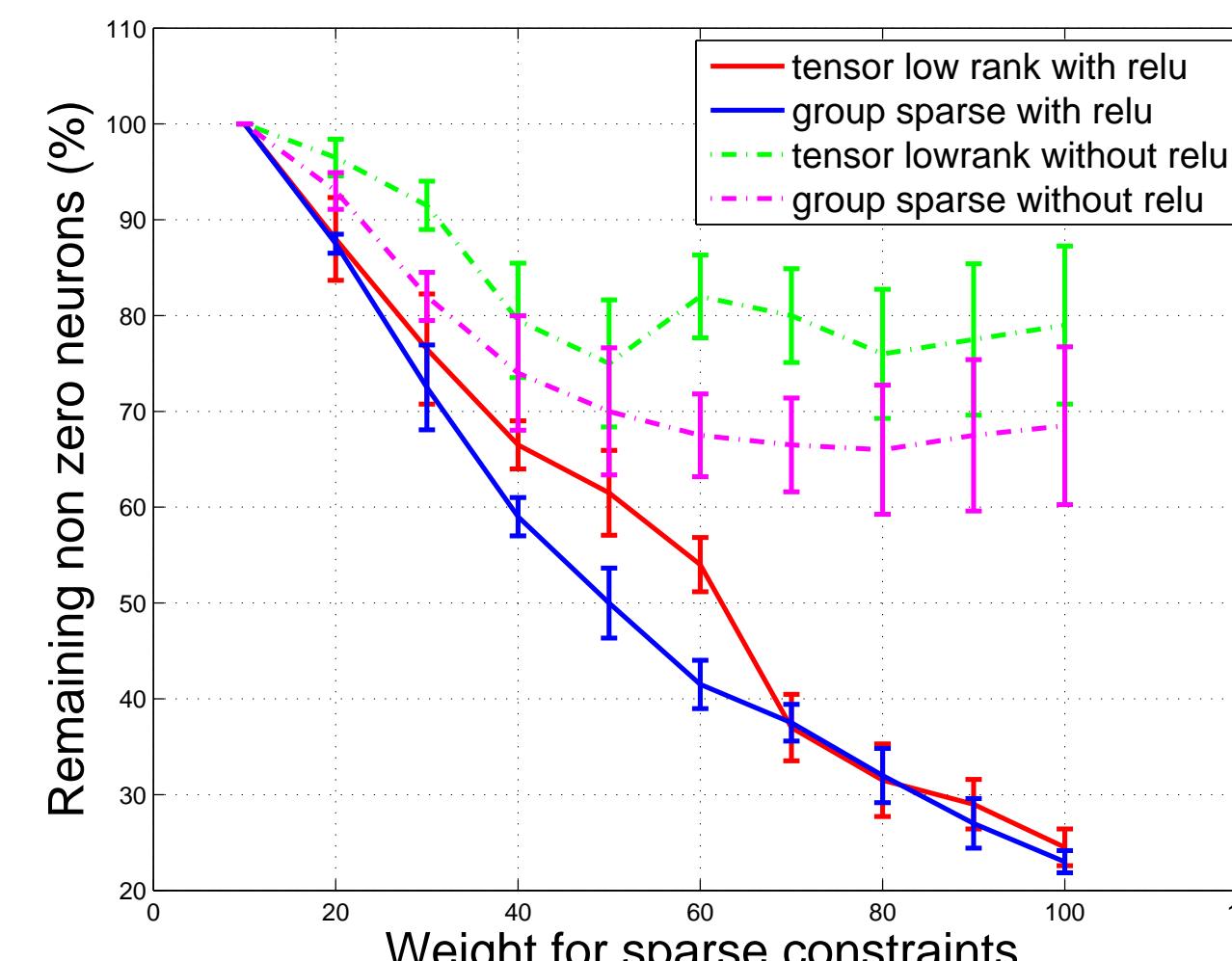
Considering ReLU as:

$$ReLU(x) = \begin{cases} x & \text{if } x > \epsilon \\ 0 & \text{if } x \leq \epsilon \end{cases} \quad (2)$$

then for a particular neuron  $\hat{W}_{lj}$ , 0 is its local **minimum** if all other neurons are fixed.



## EXPERIMENTS ABOUT RELU



Left: Percentage of nonzero neurons of conv2 for LeNet with and without ReLU layer.  
Right: the corresponding top 1 validation error on MNIST.

## EXPERIMENTS

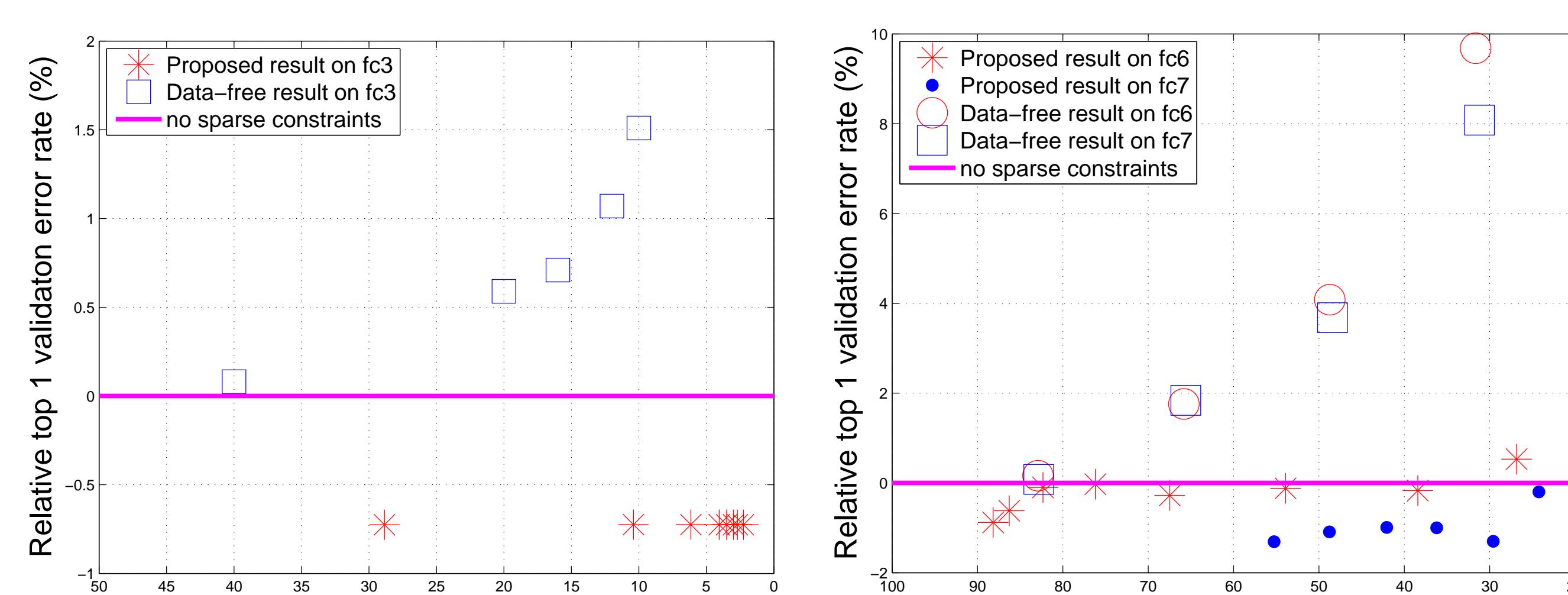
	$\tau$ conv2	Neurons pruned (%) conv2	Top-1 error (%) absolute	parameter reduction (%)	memory reduced (MB)
LeNet	60	100	45.5	97.75	0.73
	80	100	56.5	97.75	0.77
	100	100	63.0	97.75	0.76
cifar10-quick	220	280	31.25	70.31	22.21
	240	280	46.88	71.86	-0.12
	280	280	54.69	70.31	22.73
AlexNet	40	35	48.46	56.49	44.58
	45	30	77.05	60.21	46.14
	45	35	73.39	65.80	45.88

Results of LeNet on MNIST, cifar-10 quick on cifar-10 and AlexNet on ImageNet. Sparse constraints are added to two layers.

layer	$\tau$	compression % neurons	memory reduced (MB) parameters	top 1 error (%) absolute	top 1 error (%) relative
fc1	5	39.04	35.08	178.02	38.30
fc1	10	49.27	44.28	224.67	38.54
fc1	20	76.21	61.30	311.06	39.26

Results of VGG-13 on ImageNet. Sparse constraints are added to one layer.

## COMPARE WITH [1]



Left: compare with [1] on LeNet.

Right: compare with [1] on AlexNet.

[1] recursively combines similar neurons of a trained network.